

U.S.P.S. EXPRESS MAIL "POST OFFICE TO ADDRESSEE" SERVICE
DEPOSIT INFORMATION

Express Mail Label No.: EV 316045780 US

Date of Deposit: May 10, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas S. Ransom et al.

Appln. No.: 10/752,467

Filed: January 5, 2004

For: SYSTEM AND METHOD FOR
SECURING ENERGY
MANAGEMENT SYSTEMS

Examiner: P. Rodriguez

Art Unit: 2125

BHGL Ref. No.: 6270/105

PML Ref. No.: 300105

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

| No. | Date of Publication | Patentee/Applicant/Assignee |
|-----------------|---------------------|-----------------------------|
| US 2003/0110302 | 06/12/03 | Hodges et al. |
| US 2003/0081790 | 01/05/03 | Kallahalla et al. |
| WO 03/107585 A1 | 12/24/03 | Brique et al. |
| WO 03/107296 A2 | 12/24/03 | Freeman |
| WO 03/107153 A2 | 12/24/03 | Phinney |
| WO 01/33801 A2 | 05/10/01 | Schuster |
| WO 00/42731 | 07/20/00 | Butnaru et al. |
| US 6,061,451 | 05/09/00 | Muratani et al. |

"High-Security SCADA LDRD Final Report", Rolf Carlson, April 2002 (2002-04), pages 1-20, XP002375457, Albuquerque, New Mexico, Livermore, California,
URL: www.sandia.gov/scada/documents/020729

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Herein included with this Information Disclosure Statement are two (2) copies of the International Search Reports from related cases. The Search Reports include a category for each of the cited documents stating the documents' relevance. Additionally, the search reports list the relevant sections of the documents. The foreign language document of Brique is relevant to novelty. The foreign language documents

Schuster, and Butnaru are listed as defining the general state of the art which is not considered to be of particular relevance.

Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

For purposes of 37 C.F.R. §1.704(d), Applicants certify that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

May 10, 2006

Date



Scott A. Timmerman
Registration. No.55,678
Attorney for Applicants



| | | |
|---|--------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/752,467 | CASE NO. 6270/105 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | FILING DATE January 5, 2004 | GROUP ART UNIT 2125 |
| APPLICANT: Douglas S. Ransom | | |

| REFERENCE DESIGNATION | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|---|-----------------------|-------------------|--------------------|-------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
| | E1 | US 2003/0110302 | 06/12/03 | Hodges et al. | | |
| | E2 | US 2003/0081790 | 01/05/03 | Kallahalla et al. | | |
| | E3 | US 6,061,451 | 05/09/00 | Muratani et al. | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|----|---|----------|----------------|--------------------|--------------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
| | E4 | WO 03/107585 A1 | 12/24/03 | Brique et al. | | |
| | E5 | WO 03/107296 A2 | 12/24/03 | Freeman et al. | | |
| | E6 | WO 03/107153 A2 | 12/24/03 | Phinney | | |
| | E7 | WO 01/33801 A2 | 5/10/01 | Schuster | | |
| | E8 | WO 00/42731 | 07/20/00 | Butnaru et al. | | |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small> | |
|------------------|--|---|
| | E9 | "High-Security SCADA LDRD Final Report", Rolf Carlson, April 2002 (2002-04), pages 1-20, XP002375457, Albuquerque, New Mexico, Livermore, California, URL:www.sandia.gov/scada/documents/020729 |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.